# **Borough of Middlesex**

1200 Mountain Avenue Middlesex, New Jersey 08846



#### RFP - 2023-005

# PUBLIC NOTICE UNDER A FAIR AND OPEN PROCESS FOR THE SOLICITATION OF **OUALIFICATIONS AND RATES FOR VARIOUS PROFESSIONALS** FOR APPOINTMENT JULY 1, 2023 THROUGH DECEMBER 31, 2023 AND SPECIAL PROJECTS ON A PROJECT BY PROJECT BASIS

**NOTICE IS HEREBY GIVEN** that sealed submissions will be received by the Purchasing Agent or designated representative, for Middlesex Borough, on Wednesday, June 21, 2023 at 11:00 am prevailing time, in the Municipal Building, 1200 Mountain Avenue, Middlesex, New Jersey 08846, then publicly opened for the following positions:

- Legal Counsel Bond
- Legal Counsel Conflict Redevelopment

All professional service contractors are required to comply with the requirements of N.J.S.A. 52:32-44 (Business Registration of Public Contractors), N.J.S.A. 10:5-31 et seq. and N.J.A.C. 17:27 et seq. (Contract Compliance and Equal Employment Opportunities in Public Contracts). The submission package is printable from the website www.middlesexboro-nj.gov or may be obtained at the Clerk's Office, Municipal Building, 1200 Mountain Avenue, Middlesex, New Jersey 08846 during regular business hours (9:00 a.m. – 4:00 p.m.). If submitting for more than one (1) professional service, you must submit separate proposals for EACH service.

The Borough reserves the right to reject any or all submissions due to any defects or waive informalities and accept any submissions that in their judgment will be in the best interest of the Borough. Questions concerning this notice may be directed to Michael La Place, Borough Administrator, mlaplace@middlesexboro-nj.gov.

Date Advertised: June 7, 2023

Carmen Modica Purchasing Agent

# BOROUGH OF MIDDLESEX GENERAL INSTRUCTIONS

#### 1. Introduction

The Borough of Middlesex, Middlesex County, State of New Jersey (hereinafter called the "OWNER") invites submissions for the service(s) mentioned in the Public Notice for Professional Services Oualifications and Rates for 2023.

This contract is to furnish and deliver various professional services for the Borough of Middlesex through a fair and open process in accordance with N.J.S.A. 19:44A-20.4 et. seq.

# 2. Administrative Conditions and Requirements

The following items express the conditions and requirements of this RFP. Together with the other RFP sections, they apply to the RFP process, the subsequent contract, and project production. Any proposed change, modification, or exception to these conditions and requirements may be the basis for the owner to determine the proposal as non-responsive to the RFP and will be a factor in the determination of an award of a contract. The contents of the proposal of the successful respondent, as accepted by the owner, will become part of any contract awarded as a result of this RFP.

# 2.1 Proposal Submission Information

Submission Date and Time: Wednesday, June 21, 2023 at 11:00am One (1) Original signed in ink & one (1) copy of the RFP response.

# **Submission Office:**

Purchasing Agent, Clerk's Office 1200 Mountain Avenue Middlesex, NJ 08846

Each submission must be provided on a Standardized Submission Form as supplied in the submission package and signed by the professional services entity or principal thereof. All prices and amounts must be written in ink or preferably typewritten. Each signatory to the submission must initial all erasures or corrections. If submitting for more than one (1) professional service, you must submit separate proposals for EACH service.

Each submission shall be contained in a sealed envelope addressed to: Purchasing Agent, Borough of Middlesex, 1200 Mountain Ave., Middlesex, NJ 08846, and said envelope shall specify the appointment Title/Professional Service for which the submission is provided. The submission is to be clearly marked (indicating the category of the professional service) – "Sealed Submission Enclosed" (e.g. Borough Auditor – sealed submission enclosed) and must be delivered at the place and time required or mailed so as to be received prior to the opening time set in the advertisement. Submissions received after the hour herein named or in unsealed envelopes shall not be considered. The original proposal shall be signed in ink and marked to distinguish it from the one (1) copy. Faxed or emailed proposals will NOT be accepted.

The Owner will not be responsible for submissions forwarded through the U.S. Mail or any delivery service if lost in transit at any time before submission opening, or if hand-delivered to incorrect location.

Responses delivered before the submission date and time specified above may be withdrawn upon written application of the respondent who shall be required to produce evidence showing that the individual is or represents the principal or principals involved in the proposal. After the submission date and time specified above, responses must remain firm for a period of sixty (60) days.

The submission shall be accompanied by: (1) Non-Collusion Affidavit, (2) Disclosure of Ownership Form, (3) Insurance Requirement Acknowledgement Form, (4) Mandatory Equal Employment Opportunity Notice Acknowledgement, (5) Copy of the applicable Business Registration Certificate, (6) Professional Services Entity Information Form, (7) Qualifications Submission, (8) List of Contribution, (9) Disclosure of Investment Activities in Iran and (10) Acknowledgement of Corrections, Additions or Deletions Form.

# 2.2 Borough Representative for this Solicitation

Questions by prospective respondents concerning this RFP may be addressed to Michael La Place in writing via email mlaplace@middlesexboro-nj.gov. Please note the aforementioned contact is authorized only to direct the attention of prospective respondents to various portions of the requirements so that they may read and interpret each portion for themselves. NO employee of the Borough of Middlesex is authorized to give interpretations of any portion of this RFP or to give information as to the requirements for the RFP in addition to that already contained in the RFP unless as a formal addenda.

Interpretations of the RFP or additional information as to its requirements, when necessary, shall be communicated to prospective respondents only by written addendum issued by the Purchasing Agent of the Borough of Middlesex.

Please identify the contract name and note *Request for Information* as the subject line when submitting a request by fax or email.

# 2.3 Interpretations and Addenda

Respondents are expected to examine the RFP with care and observe all its requirements. All questions about the meaning or intent of this RFP, all interpretations and clarifications considered necessary by the owner's representative in response to such comments and questions will be issued by Addenda mailed or delivered to all parties recorded as having received the RFP package. Only comments and questions responded to by formal written Addenda will be binding. Oral interpretations, statements or clarifications are without legal effect.

#### **2.4 Quantities of Estimate**

Wherever the estimated quantities of work to be done are shown in any section of this RFP, including the Proposal Cost Form, they are given for use in comparing proposals. The owner especially reserves the right (except as herein otherwise specifically limited) to increase or diminish the quantities as may be deemed reasonably necessary or desirable by the owner to complete the work detailed by the contract. Such increase or diminution shall in no way violate this contract, nor shall any such increase or diminution give cause for claims or liability for damages.

# 2.5 Cost Liability and Additional Costs

The owner assumes no responsibility and liability for costs incurred by the respondents prior to the issuance of an agreement. The liability of the owner shall be limited to the terms and conditions of the contract.

Respondents will assume responsibility for all costs not stated in their proposals. All unit rates either stated in the proposal or used as a basis for its pricing are required to be all-inclusive. Additional charges, unless incurred for additional work performed by request of the owner as noted in 2.4, are not to be billed and will not be paid.

# 2.6 Statutory and Other Requirements

# 2.6.1 Compliance with Laws

Any contract entered into between the contractor and the owner must be in accordance with and subject to compliance by both parties with the New Jersey Local Public Contracts Law. The contractor must agree to comply with the non-discrimination provisions and all other laws and regulations applicable to the performance of services there under. The respondent shall sign and acknowledge such forms and certificates as may be required by this section.

# 2.6.2 Mandatory EEO/Affirmative Action Compliance - N.J.S.A 10:5-31 et seq. and N.J.A.C 17:27 et seq.

No firm shall be issued a contract unless it complies with the EEO/Affirmative Action requirements of P. L. 1975, C. 127 as identified in the documents attached hereto. The form shall be properly executed.

# 2.6.3 Americans with Disabilities Act of 1990 - 42 U.S.C. S121 01 et seq.

Discrimination on the basis of disability in contracting for the delivery of services is prohibited. Respondents are required to read American with Disabilities language that is part of the documents attached hereto and agree that the provisions of Title II of the Act are made part of the contract. The contractor is obligated to comply with the Act and hold the owner harmless.

# 2.6.4 Statement of Corporate Ownership-Stockholder Disclosure - N.J.S.A. 52:25-24.2 (P.L. 1977 c.33

In accordance with N.J.S.A. 52:25-24.2, no corporation, partnership, limited partnership, limited liability corporation, limited liability partnership, Subchapter S corporation or sole proprietorship, shall be awarded a contract, unless prior to the receipt of the RFP response/bid or accompanying the RFP response/bid of the corporation, partnership, limited partnership, limited liability corporation, limited liability partnership, Subchapter S corporation or sole proprietorship, there is submitted to the Borough a statement setting forth the names and addresses of all stockholders who own 10% or more of the stock, of any class or of all individual partners who own a 10% or greater interest in the corporation, partnership, limited partnership, limited liability corporation, limited liability partnership, Subchapter S corporation or sole proprietorship. If one or more such stockholder or partner is itself a corporation or partnership, the stockholders holding 10% or more of that corporation's stock, or the individual partners owning 10% or greater interest in that partnership, as the case may be, shall also be listed. The disclosure shall be continued until names and addresses of every non-corporate stockholder and individual partner, exceeding the 10% ownership criteria established in this act has been listed. The form shall be signed and submitted with the RFP proposal/bid whether or not a stockholder or partner owns less than 10% of the business submitting the RFP proposal/bid. Failure to comply requires mandatory rejection of the RFP proposal/bid. The Respondent shall complete and submit the form of statement that is included in this RFP.

# 2.6.5 Non-Collusion Affidavit - N.J.S.A. 52:34-15

The Non-Collusion Affidavit, which is part of this RFP, shall be properly executed and submitted with the RFP response.

# 2.6.6 Proof of N.J. Business Registration Certificate N.J.S.A. 52:32-44

Each bidder (contractor) is required to submit proof of business registration prior to award of the contract. Proof of registration shall be a copy of the bidder's Business Registration Certificate (BRC).

N.J.S.A. 52:32-44 imposes the following requirements on contractors and all subcontractors that knowingly provide goods or perform services for a contractor fulfilling this contract:

> 1. The contractor shall obtain and provide the owner the BRC of subcontractors knowingly used on this contract.

- 2. The contractor shall maintain and submit to the contracting agency a list of subcontractors and their addresses that may be updated from time to time during the course of the contract performance. A complete and accurate list shall be submitted before final payment is made for goods and services rendered under the contract.
- 3. During the term of this contract, the contractor and its affiliates shall collect and remit, and shall notify all subcontractors and their affiliates that they must collect and remit to the Director, New Jersey Division of Taxation, the use tax due pursuant to the Sales and Use Tax Act, (N.J.S.A. 54:32B-1 et seq.) on all taxable sales of tangible personal property delivered into the State.

<u>Failure to submit the BRC with the proposal is NOT a cause for rejection</u>. However, the Borough prefers the BRC be submitted with the proposal. If it is not provided prior to execution of a contract the bidder's bid guarantee shall be forfeited and the contract shall be awarded to the next lowest responsible bidder.

A contractor, subcontractor or supplier who fails to provide proof of business registration or provides false business registration information shall be liable to a penalty of \$25.00 for each day of violation, not to exceed \$50,000 for each business registration not properly provided or maintained under a contract with a contracting agency.

A BRC is obtained from the New Jersey Division of Revenue and Enterprise Services. Information on obtaining a BRC is available on the internet at <a href="www.nj.gov/treasury/revenue/busregcert.shtml">www.nj.gov/treasury/revenue/busregcert.shtml</a> or by phone at (609) 292-2929.

# **Emergency Purchases or Contracts**

For purchases of an emergent nature, the contractor shall provide its Business Registration Certificate within two weeks from the date of purchase or execution of the contract or prior to payment for goods or services, whichever is earlier.

#### 2.6.7 Pay to Play – Notice of Disclosure Requirement

Business entities are advised of their responsibility to file an annual disclosure statement of political contributions with the New Jersey Election Law Enforcement Commission (ELEC) pursuant to N.J.S.A. 19:44A-20.27 if they receive contracts in excess of \$50,000 from public entities in a calendar year. Business entities are responsible for determining if filing is necessary. Additional information on this requirement is available from ELEC at 888-313-3532 or at <a href="https://www.elec.state.nj.us">www.elec.state.nj.us</a>.

#### 2.6.8 Assign, Sublet or Transfer Any Rights/Interests

Neither the owner nor the Contractor shall assign, sublet, or transfer any rights or interest in this Agreement without the prior written consent of the other party. Unless specifically stated to the contrary, in writing, prior to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under this Agreement. Nothing herein shall be construed to give any rights or benefits to anyone other than the owner and the Contractor.

#### 2.6.9 Insurance and Indemnification

If it becomes necessary for the contractor, either as principal or by agent or employee, to enter upon the premises or property of the owner in order to construct, erect, inspect, make delivery or remove property hereunder, the contractor hereby covenants and agrees to take use, provide and make all proper, necessary and sufficient precautions, safeguards, and protection against the occurrence of happenings of any accident, injuries, damages, or hurt to person or property during the course of the work herein covered and be his/her sole responsibility.

The contractor shall maintain sufficient insurance to protect against all claims under Workers Compensation, General Liability and Automobile and shall be subject to approval for adequacy of protection and certificates of such insurance shall be provided.

#### Indemnification

The contractor agrees to indemnify and save harmless the owner, its officers, agents and employees, hereinafter referred to as indemnitees, from all suits, including attorney's fees and costs of litigation, actions, loss damage, expense, cost of claims, of any character or on account of any act, claim or amount arising or recovered under Worker's Compensation law, or arising out of failure of the Contractor or those acting under Contractor to conform to any statutes, ordinances, regulations, law or court decree. It is the intent of the parties to this contract that the indemnities shall, in all instances, except for loss or damage resulting from the sole negligence of the indemnitee, be indemnified against all liability, loss or damage of any nature whatsoever.

# **Insurance Requirements:**

# Worker's Compensation and Employer's Liability Insurance

This insurance shall be maintained in full force during the life of this contract by the contractor covering all employees engaged in performance of this contract pursuant to N.J.S.A. 34:15-12(a) and N.J.A.C. 12:235-1.6. Minimum Employer's Liability \$1,000,000.00.

#### **General Liability Insurance**

This insurance shall have limits of not less than \$3,000,000.00 any one person and \$3,000,000.00 any one accident for bodily injury and \$3,000,000.00 aggregate for property damage and shall be maintained in force during the life of the contract.

# **Automobile Liability Insurance**

This insurance covering contractor for claims arising from owned, hired and non-owned vehicles with limits of not less than \$3,000,000.00 any one person and \$3,000,000.00 any one accident for bodily injury and \$3,000,000.00 each accident for property damage, shall be maintained in force during the life of this contract by the contractor.

# Professional Liability/Malpractice Insurance Policy (if applicable)

Coverage in the amount of \$2,000,000.00/occurrence, \$4,000,000.00 aggregate and assurance that each such policy for each staff member remains full and in effect while providing services for owner.

The contractor shall provide the owner with a Certificate of Insurance naming the Borough of Middlesex as additionally insured, evidencing the existence of required insurance prior to the commission of work. Said insurance must include coverage for complete operations, contractual insurance and independent contractor or subcontractor insurance, where and if applicable.

#### **Errors and Omissions Insurance**

- A. The contractor shall purchase and maintain during the entire period of this contract, errors and omissions insurance that shall protect the contractor and the Borough from any and all claims that may arise out of or result from the contractor's performance of this contract. Specifically, the errors and omissions insurance shall have limits of not less than \$2,000,000.00 dollars per occurrence and \$4,000,000.00 dollars in the aggregate.
- Certificates of the Required Insurance B.

Certificates as listed above shall be submitted along with the contract as evidence covering Errors and Omissions insurance. Such coverage shall be with acceptable insurance companies operating on an admitted basis in the State of New Jersey.

The contractor shall provide the Borough with a Certificate of Insurance naming the Middlesex Borough, its employees, officers, and agents as additionally insured, and evidencing the existence of required insurance prior to the commission of work.

Middlesex Borough will not accept Mutual Limitation of Liability terms.

# 2.6.10 Health Insurance Portability and Accountability Act of 1996 - HIPAA (If Applicable)

Both parties agree to comply with all requirements of the Federal Health Insurance Portability and Accountability Act of 1996 ("HIPAA") as maybe amended from time to time, and the corresponding HIPAA regulations for the confidentiality and security of medical information.

The Contractor shall:

- Not use or disclose protected health information other than as permitted or required by law
- Use appropriate safeguards to protect the confidentiality of the information
- Report any use or disclosure not permitted

The contractor, by execution of the contract, shall thereby indemnify and hold the owner harmless from any and all liabilities, claims, actions, costs and penalties which may be incurred as the result of the failure of the contractor to comply with the requirements of the Health Insurance Portability and Accountability Act (HIPAA) or any other statute or case law protecting the privacy of persons using its services.

#### 2.6.11 Proof of Licensure

Proof of licensure for providing Services in the State of New Jersey, for either the firm or the person responsible for the work, shall be provided as required.

#### 2.6.12 Disclosure of Investment Activities in Iran – P.L. 2012, c. 25

P.L. 2012, c.25 prohibits State and local public contracts with persons or entities engaging in certain investment activities in energy or finance sectors of Iran.

#### 2.7 Public Emergency

In the event of a Public Emergency declared at the Local, State or Federal Level, if the owner opts to extend terms and conditions of this RFP, the contractor agrees to extend the terms and conditions of this RFP, whether existing, expiring or expired no longer than six months, for goods and/or services for the duration of the emergency. In the event the original contractor cannot meet this requirement, the owner may solicit the goods and/or services from any bidder on this contract.

#### 2.8 Multiple Proposals Not Accepted

More than one proposal from an individual, a firm or partnership, a corporation or association under the same or different names shall not be considered.

#### 2.9 Failure to Enter Contract

Should the respondent, to whom the contract is awarded, fail to enter into a contract within ten (10) days, Sundays and holidays excepted, the owner may then, at its option, accept the proposal of another respondent.

#### 2.10 Commencement of Work

The contractor agrees to commence work after the date of award by the owner and upon notice from the using department.

# 2.11 Time of Completion

It is hereby understood and mutually agreed, by and between the respondent and the owner, that the date on which the work shall be substantially complete as specified in the RFP is an essential condition of this contract. It is further mutually understood and agreed that the work and contract time embraced in this Contract shall commence on the date specified and that the resulting contract shall be completed in sequence and time frames identified by the owner.

The respondent agrees that said services shall be processed regularly, diligently, and uninterruptedly at such rate of progress as will ensure full completion thereof within the time specified. It is expressly understood and agreed, by and between the respondent and the owner, that the time of completion of the services described herein is a reasonable time for the completion of it.

#### 2.12 Termination of Contract

If, through any cause, the contractor shall fail to fulfill in a timely and proper manner obligations under the Contract or if the contractor violates any requirements of the Contract, the owner shall thereupon have the right to terminate the Contract by giving written notice to the contractor of such termination at least thirty (30) days prior to the proposed effective date of the termination. Such termination shall relieve the owner of any obligation for the balances to the contractor of any sum or sums set forth in the Contract.

The contractor agrees to indemnify and hold the owner harmless from any liability to subcontractors/suppliers concerning payment for work performed or goods supplied arising out of the lawful termination of the Contract by the owner under this provision.

In case of default by the contractor, the owner may procure the articles or services from other sources and hold the contractor responsible for any excess cost occasioned thereby.

#### 2.13 Non-Allocation of Funding Termination

Each fiscal year payment obligation of the Owner is conditioned upon the availability of Owner funds appropriated or allocated for the payment of such an obligation. If funds are not allocated and available for the continuance of any services performed by the Contractor hereunder, whether in whole or in part, the Owner at the end of any particular fiscal year may terminate such services. The Owner will notify the Contractor in writing immediately of any services that will be affected by a shortage of appropriated funds. This provision shall not be construed so as to permit the Owner to terminate this Agreement during the term, or any service hereunder, merely in order to acquire identical services from a third-party contractor.

#### 2.14 Force Majeure

Neither party shall be responsible for any resulting loss or obligation to fulfill duties as specified in any of the terms or provisions of this Agreement if the fulfillment of any term or provision of this Agreement is delayed or prevented by any revolutions, insurrections, riots, wars, acts of enemies, national emergencies, strikes, floods, fires, acts of God, or by any cause not within the control of the party whose performance is interfered with which by the exercise of reasonable diligence such party is unable to prevent. Additionally, if the fulfillment of any of the terms and provisions of this Agreement is delayed or prevented by any court order, or action or injunction or other such agreement, this Agreement shall become voidable by the Borough of Middlesex by notice to each party.

- **2.15** The Owner and the Contractor each bind themselves and their successors, executors, administrators, heirs and assigns and legal representatives of the other party respecting all covenants and agreements and obligations of this contract.
- **2.16** The terms of this contract shall be construed and interpreted, and all respective rights and duties of the parties shall be governed by the laws of the State of New Jersey.

# 2.17 Challenge of Specifications

Any respondent who wishes to challenge a specification shall file such challenge in writing with the Purchasing Agent no less than three (3) business days prior to the opening of the RFP's.

Challenges filed after that time shall be considered void and having no impact on the owner or the award of contract.

## 2.18 Payment

Invoices shall be submitted monthly and must specify, in detail, the period for which payment is claimed, the services performed during the prescribed period, the amount claimed and correlation between the services claimed, all backup documentation (mileage, time logs, receipts for expenses, etc.), amount remaining in total balance, and the Proposal Cost Form.

The Borough of Middlesex will provide a sample Progress Report and Invoice for the Hired Consultant to ensure compliance.

The owner may withhold all or partial payments on account of subsequently discovered evidence including but not limited to the following:

- 1. Deliverables not complying with the project specification;
- 2. Claims filed or responsible evidence indicating probability of filing claims;
- 3. A reasonable doubt that the Contract can be completed for the balance then unpaid.

When the above grounds are removed, payment shall be made for amounts withheld because of them.

Invoices shall specify, in detail, the period for which payment is claimed, the services performed during the prescribed period, the amount claimed and correlation between the services claimed and the Proposal Cost Form.

# 2.19 Non-payment of Penalties and Interest on Overdue Bills

Public funds may be used to pay only for goods delivered or services rendered. The Borough of Middlesex will not pay penalties and/or interest on overdue bills. No employee is authorized to sign a letter of credit or any other document that represents a legal commitment on the part of the Borough to pay additional fees.

# 2.20 Ownership of Material

The owner shall retain all of its rights and interest in any and all documents and property both hard copy and digital furnished by the owner to the contractor for the purpose of assisting the contractor in the performance of this contract. All such items shall be returned immediately to the owner at the expiration or termination of the contract or completion of any related services, pursuant thereto, whichever comes first. None of the documents and/or property shall, without the written consent of the owner, be disclosed to others or used by the contractor or permitted by the contractor to be used by their parties at any time except in the performance of the resulting contract.

Ownership of all data, materials and documentation originated and prepared for the owner pursuant to this contract shall belong exclusively to the owner. All data, reports, computerized information, programs and materials related to this project shall be delivered to and become the property of the owner upon completion of the project. The contractor shall not have the right to use, sell, or disclose the total of the interim or final work products, or make available to third parties, without the prior written consent of the owner.

Under state and federal statutes, certain government records are protected from public disclosure. The Borough, the Contractor and any Subcontractors have a responsibility and an obligation to safeguard from public access an employee's personal information with which it has been entrusted when disclosure thereof would violate the employee's reasonable expectation of privacy. All payroll, personnel and health insurance related files are confidential. Additionally, the Contractor and any Subcontractors may be privy to sensitive law enforcement information or investigations during their review which must remain confidential. The Borough reserves the right to make any public disclosure under the law. Also, among government records deemed confidential are administrative or technical information regarding computer hardware, software and networks that, if disclosed, would jeopardize computer security. The Contractor and any Subcontractor(s) are prohibited from the sale or distribution of all supplied information to any third party.

# 2.21 Source of Specifications/RFP Packages

Official Request for Proposal (RFP) packages for routine goods and services are available from www.middesexboro-nj.gov at no cost to the prospective respondents. All addenda are posted on this site. Potential respondents are cautioned that they are responding at their own risk if a third party supplied the specifications that may or may not be complete. The Borough is not responsible for third party supplied RFP documents.

# 2.22 Altering Official Document

Respondents shall not write in any margins or alter the official content of Borough's RFP document.

#### 2.23 RFP Preparation of Forms

RFPs *must be signed in ink by the respondent*; all quotations shall be made with a typewriter/computer or pen and ink. Any quotation showing any erasure alteration must be initialed by the respondent in ink. Unit prices and totals are to be inserted in spaces provided.

#### 2.24 W-9

Successful bidder/respondent shall complete W-9 Form and submit to Finance prior to contract award. The form is available at the following link: www.irs.gov/pub/irs-pdf/fw9.pdf

# 3. SCOPE OF WORK (SOW)

The following is a description of the professional services needed, including where appropriate, a description of tasks involved:

**LEGAL COUNSEL** – **BOND** - The Borough requires legal services for the representation of the Borough in issuing bonds and financings. The minimum threshold criteria that will be utilized for the evaluation of the responses shall be as follows:

- 1) Licensed to practice law in the State of New Jersey for a minimum of five (5) years
- 2) Evidence of professional liability insurance
- 3) Representation of government entities in the field of public finance for a minimum of five (5) years; and
- 4) Knowledge of the Borough and its operations

**LEGAL COUNSEL – CONFLICT REDEVELOPMENT** - The Borough is seeking legal services for the redevelopment activities of the Borough. The minimum threshold criteria that will be utilized for the evaluation of the responses shall be as follows:

- 1) Licensed to practice law in the State of New Jersey for a minimum of five (5) years
- 2) Evidence of professional liability insurance
- 3) Representation of governmental entities in the field of redevelopment, with particular emphasis on municipal improvement authorities, for a minimum of five (5) years; and
- 4) Knowledge of the Borough and its operations

# **BOROUGH OF MIDDLESEX EXCEPTIONS**

For each exception, the bidder must identify the specific section of specifications by providing the number and title the exception applies to. It is the responsibility of the bidder to document the equivalence claim in writing. Submitting product brochures is not an acceptable claim of equivalence.

( <u>IF NONE SO STATE</u> )		

# 4. Proposal Requirements

#### 4.1 Qualification Statement

A statement is to be provided by the respondent who will serve as the primary contractor. The statement shall set forth brief details of the firm's principal activities, the number of personnel in the firm and the firm's location. Please provide a list of (3) three clients for whom similar services have been provided. Include the following in your response:

- 1. Name of government agency.
- 2. Contact person's name, position, and current telephone number.
- 3. Dates, cost and scope of service.
- 4. Status and comments

#### 4.2 Key Personnel Information

The respondent shall provide the identity and the professional credentials of the principals and other key personnel either working for the contractor and their areas of responsibilities.

#### 4.3 Subcontractors

Respondents may engage the services of subcontractors for completion of this project. If their proposal involves any subcontractors, full details on the nature of the work to be performed by them and the location in which the work is to be performed must be provided. The respondent understands that if selected, the owner prior to initiating any subcontracted work, must approve the use of subcontractors in writing.

# 4.4 Proposal Forms

The following forms are contained in the attachments. All forms are required and shall be completed and made part of the proposal submitted.

- 1. Proposal Cost/Signature Form
- 2. Qualifications Submission Form
- 3. Non-Collusion Affidavit
- 4. Stockholder Disclosure
- 5. Insurance Requirement Acknowledgement Form
- 6. Affirmative Action Statement
- 7. Professional Service Entity Information Form
- 8. Acknowledgement of Receipt of Addenda
- 9. List of Contribution
- 10. Disclosure of Investment Activities in Iran

#### 4.5 Location of Servicing Office

The proposal must list the location and address of the present, active office that will service and manage this contract.

#### 5. Evaluation, Review and Selection Process

#### 5.1 Proposals to Remain Subject to Acceptance

RFP responses shall remain open for a period of sixty (60) calendar days from the stated submittal. The owner will either award the Contract within the applicable time period or reject all proposals.

The owner may extend the decision to award or reject all proposals beyond the sixty (60) calendar days when the proposals of any respondents who consent thereto may, at the request of the owner, be held for consideration for such longer period as may be agreed.

# **5.2** Rejection of Proposals

The owner reserves the right to reject any or all proposals, or to reject any proposals if the evidence submitted by, or investigation of such respondent fails to satisfy the owner that such respondent is properly qualified to carry out the obligations of the RFP and to complete the work contemplated therein. The owner reserves the right to waive any minor informality or reject any/or all submissions in accordance with the Fair & Open Public Solicitation Process for professional services(s) pursuant to P.L. 2004, c.19 (N.J.S.A. 19:44A-20.4 et seq.) in the RFP.

#### **5.3** Evaluation Process

An evaluation team will review all proposals to determine if they satisfy the Proposal Requirements, determine if a proposal should be rejected and evaluate the proposals based upon the Evaluation Criteria. The highest-ranking respondent will then be recommended to the governing body for award of contract, based on most advantageous price and other factors. The Borough reserves the right to reach out to the respondents to get clarification on Proposals on specific items if necessary during the deliberation process.

Evaluation Team – RFP respondents are prohibited from contacting any member of the evaluation team directly without a formal invitation. If it is found that a respondent has attempted to discuss their proposal with a team member without an invite then their proposal may be deemed unresponsive. All questions during the evaluation period shall be directed to the Purchasing Agent.

# **5.4 Evaluation Criteria**

The criteria considered in the evaluation of each proposal follows. The arrangement of the criteria is not meant to imply order of importance in the selection process. All criteria will be used to select the successful respondent.

This will be based on the quality of the content of the RFP and the respondent's ability to communicate a thorough understanding of the required tasks and the approach to meet the scope of work outlined in the RFP. The proposals will be evaluated for general compliance with instructions and requests issued in the RFP. Non-compliance with significant instructions will be grounds for disqualification of proposals.

#### **5.4.1** Understanding of the Requested Work

The proposals will be evaluated for general compliance with instructions and requests issued in the RFP. Non-compliance with significant instructions shall be grounds for disqualification of proposals.

# **5.4.2** Knowledge and Technical Competence

This includes the ability of the respondent to perform all of the tasks and fulfill adequately the stated requirements.

# 5.4.3 Management, Experience and Personnel Qualifications

Expertise of the firm shall be demonstrated by past contract successes providing government agencies with similar services. The respondent will be evaluated on knowledge, experience, prior collaboration and successful completion of projects/services similar to that requested in this RFP.

In addition to relevant experience, respondents shall provide personnel qualifications in the Proposal. (See 4.1 and 4.2).

# 5.4.4 Ability to Complete the Project/Services in a Timely Manner

This is based on the estimated duration of the tasks and the respondent's ability to accomplish these tasks as stated.

#### 5.4.5 Cost

Price shall be based on amount stated on the proposal cost form. Total overall costs to complete the project, the cost of maintenance, training, etc., or price shall be based on hourly rates and schedules of fees submitted with the proposal. Any services not included as part of any resulting contract scope of services must be approved and authorized by the owner before such work is initiated. The owner shall pay for such approved services, at the rate or cost agreed upon between the owner and contractor, provided the respondent has provided a schedule of fees for additional services with this RFP.

#### **5.5** Term of the contract

The term of this contract is January 1, 2023 – December 31, 2023. (Options to extend may be exercised by mutual agreement in accordance with terms of N.J.S.A. 40A:11-4.1 et seq.)

#### 5.6 Notice of Award

The successful respondent will be notified of the award of contract upon a favorable decision by the governing body.

#### **List All Contributions**

List all contributions, including in-kind contributions you or your firm/company (including all equitable owners/members thereof) have made to any campaign or candidate for any public office in Middlesex Borough or Middlesex County in 2021-2022. Include amount(s) of such contribution(s) and the candidate(s) or committees to whom such amounts were given.

# **CHECKLIST**

PROFESSIONAL SERVICE TITLE:  Please fill in the appropriate title in the space above.	
SUBMISSION DATE: Wednesday, June 21, 2023 at 11:00 AM	
The following items, as indicated below (X), shall be provided with the receipt of seale	ed submissions.
1. Non-Collusion Affidavit	X
2. Stockholder Disclosure Certification	X
3. Insurance Requirement Acknowledgement Form	X
4. Mandatory Equal Employment Opportunity Notice Acknowledgement	X
5. Copy of your <i>Business Registration Certificate</i> as issued by the State of New Jersey, Department of Treasury, Division of Revenue	X
6. Professional Service Entity Information Form	X
7. Qualifications Submission Form	X
8. List of Contribution(s)	X
9. Disclosure of Investment Activities in Iran/Russia/Belarus	X
10. Acknowledgement of Corrections, Additions or Deletions Form	X
11. Proposal Cost/Signature Form	X
Reminder	

Please submit one (1) original and one (1) additional set of the sealed submission.

Each submission shall be contained in a sealed envelope addressed to: Purchasing Agent, Borough of Middlesex, 1200 Mountain Ave., Middlesex, NJ 08846 or in the preprinted envelope supplied with the submission package when available and said envelope shall specify the Appointment Title/Professional Service for which the submission is provided.

The submission is to be clearly marked (indicating the category of the professional service) – "Sealed Submission Enclosed" (e.g. Borough Auditor - sealed submission enclosed) and must be delivered at the place and time required or mailed so as to be received prior to the opening time set in the advertisement.

Submissions received after the hour herein named or in unsealed envelopes shall not be considered.

# **BOROUGH OF MIDDLESEX BID PROPOSAL FORM/SIGNATURE PAGE**

# TO THE BOROUGH OF MIDDLESEX:

The undersigned declares that he/she has read the Notice, Instructions, Affidavits and Scope of Services attached, that he/she has determined the conditions affecting the bid and agrees, if this bid is accepted, to furnish and deliver services per the following:

# PROFESSIONAL SERVICES

# FEE SCHEDULE SUBMITTED Yes ☐ No ☐

(Corporation) The undersigned is a (Partnership) under the laws of the Sta (Individual)	ate of	having its
Principal office at		
Company	Federal I.D. # or Social Security #	
Address		
Signature of Authorized Agent	Type or Print Name	
Title of Authorized Agent	Date	
Telephone Number	Email Address	
Fax Number		

# LIST ALL CONTRIBUTION(S)

#### 2021-2022

List all contributions, including in-kind contributions you or your firm/company (including all equitable owners/members thereof) have made to any campaign or candidate for any public office in Middlesex Borough or Middlesex County in 2021-2022. Include amount(s) of such contribution(s) and the candidate(s) or committees to whom such amounts were given.

Name of Campaign or Candidate	Amount of Contribution	
, , , , , , , , , , , , , , , , , , , ,	nat you or your firm/company (including all equitable the made any contributions to any campaign or candidate for Middlesex County in 2021-2022.	or any
COMPANY:	PRINT NAME:	
SIGNATURE:	TITLE:	

DATE: \_\_\_\_\_

# **QUALIFICATIONS SUBMISSION FORM**

1.	Names and roles of the individuals who will perform the services and description of their education and experience with projects similar to the services contained herein including their education, degrees and certifications:
2.	References and record of success of same or similar service:
3.	Description of ability to provide the services in a timely fashion (including staffing, familiarity and location of key staff):
-	

4.	Cost details, including the hourly r services, including their title, level		e individuals who will perform years of experience, and all expenses:
Fii	rm		Date:
Αι	uthorized Representative (Print):		
Sig	gnature:	_ Title:	
Те	elephone #:	Fax #:	·

# PROFESSIONAL SERVICE ENTITY INFORMATION FORM

If the Professional Service Entity is an *INDIVIDUAL*, sign name and give the following information: Name:\_\_\_\_\_ Address: Telephone No.: \_\_\_\_\_Social Security No.:\_\_\_\_ Fax No.: \_\_\_\_\_ E-Mail: \_\_\_\_ If individual has a TRADE NAME, give such trade name: Trading As: \_\_\_\_\_\_ Telephone No.: \_\_\_\_\_\_ \* If the Professional Service Entity is a **PARTNERSHIP**, give the following information: Name of Partners: Firm Name: Telephone No.: \_\_\_\_\_\_ Federal I.D. No.: \_\_\_\_\_ Fax No.: E-Mail: Social Security No.:\_\_\_\_\_ Signature of authorized agent:\_\_\_\_\_ \* If the Professional Service Entity is INCORPORATED, give the following information: State under whose laws incorporated: Location of principal office:

# **BOROUGH OF MIDDLESEX OWNERSHIP STATEMENT - STOCKHOLDER DISCLOSURE FORM**

LEGAL NAME OF BIDDER:	
Check the box that represents the type of business organization:	
☐ Partnership ☐ Corporation ☐	Sole Proprietorship
☐ Limited Partnership ☐ Limited Liability Corporation ☐	Limited Liability Partnership
☐ Subchapter S Corporation ☐ Other, Please List	
List the names and addresses of all stockholders who own ten (10%) percent or more there <b>are NO STOCKHOLDERS OF 10% OR MORE</b> , <b>simply check the second</b> stockholders or partner is itself a corporation or partnership, the stockholders holdi stock, or the individual partners owning 10% of that corporation's stock, or the indivinterest in that partnership, as the case may be, must also be listed.  The disclosure shall be continued until names and addresses of every	d box below. If one or more such ng 10% or more of that corporation's idual partners owning 10% or greater person who is a non-corporate
stockholder, or individual partner, exceeding the 10% ownership criteria listed, in full compliance with Chapter 33 of the New Jersey Public Laws of 19	
BIDDERS/RESPONDENTS MUST CHECK THE APPROPRIATE BOX:	
$\square$ I certify that the <b>list below</b> contains the names and addresses of all <b>stockholders</b> and outstanding stock of the undersigned.	s holding 10% or more of the issued
$\Box$ I certify that <b>no one stockholder</b> owns 10% or more of the issued and outstanding	g stock of the undersigned.
$\ \square$ <u>Publicly Traded</u> - For publicly traded entities to comply with N.J.S.A. 52:25-24.2 th of each publicly traded entity, and the name and address of each person holding 1 publicly traded entity as of the last annual filling with the Security Exchange Commiss	0% or more beneficial interest in the
Submit here the Website (URL) providing the last annual Security Exchange Commissi	on (SEC) filing, or foreign equivalent:
The requested information is available on the following page number(s) of the SEC, or	foreign equivalent, filing:
Stockholder Name	
Address	
Percentage of Ownership%.	
Stockholder Name	
Address	
Percentage of Ownership%.	
Stockholder Name	
Address	
Percentage of Ownership%.	
( <b>Note:</b> Attach additional pages if necessary)	
(Respondent/Respondent Authorized Signature)	(Date)
	• •
(Print name of authorized signatory) (Title)	

# **BOROUGH OF MIDDLESEX NON-COLLUSION AFFIDAVIT**

State of County of	ss:	
ī.	of the City of	
in the County of	and State of v on my oath depose and say that:	
I am(Title or pos	of the firm of sition) (N	Name of firm)
with full authority so to do; the agreement, participated in any competitive bidding in connection in said proposal and in this affid. Borough of Middlesex relies upon statements contained in this affid.  I further warrant that no or secure such contract upon a brokerage, or contingent fee, exceptions.	for the above named project, and that I hat said bidder has not, directly or in collusion, or otherwise taken any act with the above named project; and the avit are true and correct, and made win the truth of the statements contained lavit in awarding the contract for the said person or selling agency has been empan agreement or understanding for a cept bona fide employees or bona fide	ndirectly entered into any ction in restraint of free, at all statements contained th full knowledge that the in said proposal and in the d project.  loyed or retained to solicit commission, percentage,
	(Name of Contractor)	
(N.J.S.A. 52:34-15)		
Subscribed and sworn to		
Before me thisday		
Of		
	Signature	9
	(Type or print name of	affiant under signature)
Notary public of		
My Commission expires		

# INSURANCE REQUIREMENTS AND ACKNOWLEDGEMENT FORM

Certificate(s) of Insurance shall be filed with the Borough Clerk's Office upon award of contract by the Mayor and Borough Council.

The minimum amount of insurance to be carried by the Professional Service Entity shall be as follows:

# PROFESSIONAL LIABILITY INSURANCE

Limits shall be a minimum of \$2,000,000.00 for each claim and \$4,000,000.00 aggregate each policy period.

Acknowledgement of Insurance Requirement:	
(Signature)	(Date)
(Printed Name and Title)	

# **EXHIBIT A EEO/AFFIRMATIVE ACTION COMPLIANCE NOTICE** N.J.S.A. 10:5-31 and N.J.A.C. 17:27 GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS

All successful bidders are required to submit evidence of appropriate affirmative action compliance to the Borough and Division of Public Contracts Equal Employment Opportunity Compliance. During a review, Division representatives will review the Borough files to determine whether the affirmative action evidence has been submitted by the vendor/contractor. Specifically, each vendor/contractor shall submit to the Borough, prior to execution of the contract, one of the following documents:

Go	ods and General Service Vendors		
1.	Letter of Federal Approval indicating that the vendor is under an existing federally approved or sanctioned affirmative action program. A copy of the approval letter is to be provided by the vendor to the Borough and the Division. This approval letter is valid for one year from the date of issuance.		
	you have a federally-approved or sanctioned EEO/AA program? Yes $\Box$ No $\Box$ yes, please submit a photo static copy of such approval.		
2.	A Certificate of Employee Information Report (hereafter "Certificate"), issued in accordance with N.J.A.C. 17:27-1.1 et seq. The vendor must provide a copy of the Certificate to the Borough as evidence of its compliance with the regulations. The Certificate represents the review and approval of the vendor's Employee Information Report, Form AA-302 by the Division. The period of validity of the Certificate is indicated on its face. Certificates must be renewed prior to their expiration date in order to remain valid.		
	Do you have a State Certificate of Employee Information Report Approval? Yes $\square$ No $\square$ If yes, please submit a photo static copy of such approval.		
3.	The successful vendor shall complete an Initial Employee Report, Form AA-302 and submit it to the Division with \$150.00 Fee and forward a copy of the Form to the Borough. Upon submission and review by the Division, this report shall constitute evidence of compliance with the regulations. Prior to execution of the contract, the EEO/AA evidence must be submitted.		
	e successful vendor may obtain the Affirmative Action Employee Information Report (AA302) on Division website <a href="www.state.nj.us/treasury/contract_compliance">www.state.nj.us/treasury/contract_compliance</a> .		
	e successful vendor(s) must submit the AA302 Report to the Division of Public Contracts Equal appropriately places are proportionally compliance, with a copy to Public Agency.		
rec	e undersigned vendor certifies that he/she is aware of the commitment to comply with the quirements of N.J.S.A. 10:5-31 and N.J.A.C. 17:27 and agrees to furnish the required forms of idence.		
	e undersigned vendor further understands that his/her bid shall be rejected as non-responsive if d contractor fails to comply with the requirements of N.J.S.A. 10:5-31 and N.J.A.C. 17:27.		
СО	MPANY: SIGNATURE:		
PR	INT NAME: TITLE:		
	TE:		

# EXHIBIT A MANDATORY EQUAL EMPLOYMENT OPPORTUNITY LANGUAGE N.J.S.A. 10:5-31 et seq. (P.L. 1975, C. 127) N.J.A.C. 17:27

# GOODS, PROFESSIONAL SERVICE AND GENERAL SERVICE CONTRACTS

During the performance of this contract, the contractor agrees as follows:

The contractor or subcontractor, where applicable, will not discriminate against any employee or applicant for employment because of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Except with respect to affectional or sexual orientation and gender identity or expression, the contractor will ensure that equal employment opportunity is afforded to such applicants in recruitment and employment, and that employees are treated during employment, without regard to their age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex. Such equal employment opportunity shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Public Agency Compliance Officer setting forth provisions of this nondiscrimination clause.

The contractor or subcontractor, where applicable will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex.

The contractor or subcontractor will send to each labor union, with which it has a collective bargaining agreement, a notice, to be provided by the agency contracting officer, advising the labor union of the contractor's commitments under this chapter and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

The contractor or subcontractor, where applicable, agrees to comply with any regulations promulgated by the Treasurer pursuant to N.J.S.A. 10:5-31 et seq., as amended and supplemented from time to time and the Americans with Disabilities Act.

The contractor or subcontractor agrees to make good faith efforts to meet targeted Borough employment goals established in accordance with N.J.A.C. 17:27-5.2.

The contractor or subcontractor agrees to inform in writing its appropriate recruitment agencies including, but not limited to, employment agencies, placement bureaus, colleges, universities, and labor unions, that it does not discriminate on the basis of age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, and that it will discontinue the use of any recruitment agency which engages in direct or indirect discriminatory practices.

The contractor or subcontractor agrees to revise any of its testing procedures, if necessary, to assure that all personnel testing conforms with the principles of job-related testing, as established by the statutes and court decisions of the State of New Jersey and as established by applicable Federal law and applicable Federal court decisions.

In conforming with the targeted employment goals, the contractor or subcontractor agrees to review all procedures relating to transfer, upgrading, downgrading and layoff to ensure that all such actions are taken without regard to age, race, creed, color, national origin, ancestry, marital status, affectional or sexual orientation, gender identity or expression, disability, nationality or sex, consistent with the statutes and court decisions of the State of New Jersey, and applicable Federal law and applicable Federal court decisions.

The contractor shall submit to the public agency, after notification of award but prior to execution of a goods and services contract, one of the following three documents:

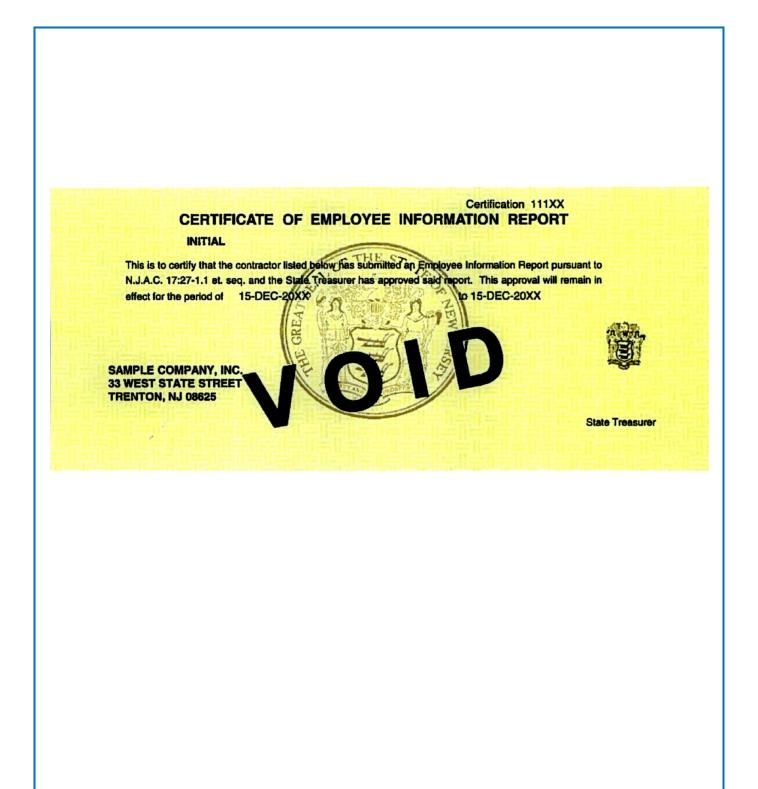
Letter of Federal Affirmative Action Plan Approval

Certificate of Employee Information Report

Employee Information Report Form AA302 (electronically provided by the Division and distributed to the public agency through the Division's website at <a href="https://www.state.nj.us/treasury/contract">www.state.nj.us/treasury/contract</a> compliance).

The contractor and its subcontractors shall furnish such reports or other documents to the Division of Purchase & Property, CCAU, EEO Monitoring Program as may be requested by the office from time to time in order to carry out the purposes of these regulations, and public agencies shall furnish such information as may be requested by the Division of Purchase & Property, CCAU, EEO Monitoring Program for conducting a compliance investigation pursuant to **Subchapter 10 of the Administrative Code at N.J.A.C. 17:27**.

# SAMPLE CERTIFICATE OF EMPLOYEE INFORMATION REPORT



# **AMERICANS WITH DISABILITIES ACT OF 1990 Equal Opportunity for Individuals with Disability**

The Contractor and the Owner, do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S121 01 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities provided or made available by public entities, and the rules and regulations promulgated pursuant there unto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the owner pursuant to this contract, the contractor agrees that the performance shall be in strict compliance with the Act. In the event that the contractor, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the contractor shall defend the owner in any action or administrative proceeding commenced pursuant to this Act. The contractor shall indemnify, protect, and save harmless the owner, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages, of whatever kind or nature arising out of or claimed to arise out of the alleged violation. The contractor shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the owner's grievance procedure, the contractor agrees to abide by any decision of the owner which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the owner, or if the owner incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the contractor shall satisfy and discharge the same at its own expense.

The owner shall, as soon as practicable after a claim has been made against it, give written notice thereof to the contractor along with full and complete particulars of the claim, If any action or administrative proceeding is brought against the owner or any of its agents, servants, and employees, the owner shall expeditiously forward or have forwarded to the contractor every demand, complaint, notice, summons, pleading, or other process received by the owner or its representatives.

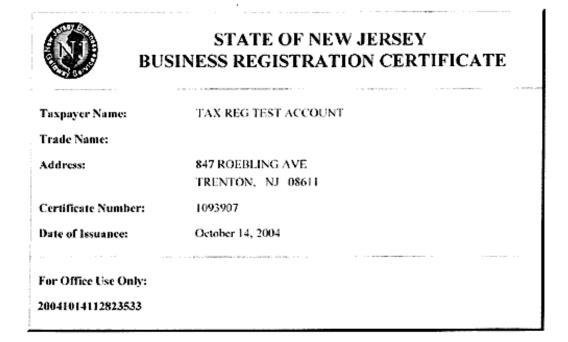
It is expressly agreed and understood that any approval by the owner of the services provided by the contractor pursuant to this contract will not relieve the contractor of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the owner pursuant to this paragraph.

It is further agreed and understood that the owner assumes no obligation to indemnify or save harmless the contractor, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the contractor expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the contractor's obligations assumed in this Agreement, nor shall they be construed to relieve the contractor from any liability, nor preclude the owner from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

# THESE ARE **SAMPLES** OF THE **ONLY** ACCEPTABLE **BUSINESS REGISTRATION CERTIFICATES**

PREFER SUBMITTED WITH BID RESPONSE REQUIRED BY LAW PRIOR TO AWARD OF CONTRACT





# **Borough of Middlesex** CERTIFICATION OF PROHIBITED ACTIVITIES IN RUSSIA AND BELARUS & INVESTMENT ACTIVITIES IN IRAN PURSUANT TO P.L.2022, c.3

BID/RFP/Solicitation Number:	Bidder/Offeror:
	•

## **Part 1: Certification**

# COMPLETE PART 1 BY CHECKING ONE OF THE THREE BOXES BELOW

Pursuant to law, any person or entity that is a successful bidder or proposer, or otherwise proposes to enter into or renew a contract, for goods or services must complete the certification below prior to contract award to attest, under penalty of perjury, that neither the person or entity, nor any parent entity, subsidiary, or affiliate, is identified on the Department of Treasury's Russia-Belarus list or Chapter 25 list as a person or entity engaging in prohibited activities in Russia, Belarus or Iran. Before a contract for goods or services can be amended or extended, a person or entity must certify that neither the person or entity, nor any parent entity, subsidiary, or affiliate, is identified on the Department of Treasury's Russia-Belarus list. Both lists are found on Treasury's website at the following web addresses:

https://www.nj.gov/treasury/administration/pdf/RussiaBelarusEntityList.pdf www.state.nj.us/treasury/purchase/pdf/Chapter25List.pdf.

As applicable to the type of contract, the above-referenced lists must be reviewed prior to completing the below certification.

A person or entity unable to make the certification must provide a detailed, accurate, and precise description of the activities of the person or entity, or of a parent entity, subsidiary, or affiliate, engaging in prohibited activities in Russia or Belarus and/or investment activities in Iran. The person or entity must cease engaging in any prohibited activities and provide an updated certification before the contract can be entered into.

If a vendor or contractor is found to be in violation of law, action may be taken as appropriate and as may be provided by law, rule, or contract, including but not limited to imposing sanctions, seeking compliance, recovering damages, declaring the party in default, and seeking debarment or suspension of the party.

#### CONTRACT AWARDS AND RENEWALS

	I certify, pursuant to law, that neither the person or entity listed above, nor any parent entity, subsidiary, or affiliate appears on the N.J. Department of Treasury's lists of entities engaged in prohibited activities in Russia or Belarus pursuant to P.L. 2022, c. 3 or in investment activities in Iran pursuant to P.L. 2012, c. 25 ("Chapter 25 List"). I further certify that I am the person listed above, or I am an officer or representative of the entity listed above and am authorized to make this certification on its behalf. (Skip Part 2 and sign and complete the Certification below.)		
	CONTRACT AMENDMENTS AND EXTENSIONS		
	I certify, pursuant to law, that neither the person or entity listed above, nor any parent entity, subsidiary, or affiliate is listed on the N.J. Department of the Treasury's lists of entities determined to be engaged in prohibited activities in Russia or Belarus pursuant to P.L. 2022, c. 3. I further certify that I am the person listed above, or I am an officer or representative of the entity listed above and am authorized to make this certification on its behalf. (Skip Part 2 and sign and complete the Certification below.)		
IF UNABLE TO CERTIFY			
	I am unable to certify as above because the person or entity and/or a parent entity, subsidiary, or affiliate is listed on the Department's Russia-Belarus list and/or Chapter 25 Iran list. I will provide a detailed, accurate, and precise description of the activities as directed in Part 2 below, and sign and complete the Certification below. Failure to provide such will prevent the award of the contract to the person or entity, and appropriate penalties, fines, and/or sanctions will be assessed as provided by law.		

# **Part 2: Additional Information**

#### PLEASE PROVIDE FURTHER INFORMATION RELATED TO PROHIBITED ACTIVITIES IN RUSSIA OR BELARUS AND/OR INVESTMENT ACTIVITIES IN IRAN.

You must provide a detailed, accurate, and precise description of subsidiary, or affiliate, engaging in prohibited activities in Russia below and, if needed, on additional sheets provided by you.	
Part 2. Cartification of Truce	and Complete Information
Part 3: Certification of True a	and Complete Information
I, being duly sworn upon my oath, hereby represent and there, to the best of my knowledge, are true and complete. I attable behalf of the above-referenced person or entity.	d state that the foregoing information and any attachments est that I am authorized to execute this certification on
I acknowledge that the Borough of Middlesex is relying acknowledge that I am under a continuing obligation from the dacontracts with the Borough of Middlesex to notify the Borough of information contained herein.	ate of this certification through the completion of any
I acknowledge that I am aware that it is a criminal offer certification. If I do so, I recognize that I am subject to criminal material breach of my agreement(s) with the Borough of Middles resulting from this certification void and unenforceable.	
Signature of Vendor's Authorized Representative	Date
Agriculte of Vender & Fundo Fized Representative	Duic
Print Name and Title of Vendor's Authorized Representative	
Vendor Name	Vendor Phone Number
Vendor Address (Street Address)	Vendor Fax Number

Vendor Email Address for Authorized Representative

Vendor Address (City/State/Zip Code)

<sup>&</sup>lt;sup>1</sup> Engaged in prohibited activities in Russia or Belarus" means (1) companies in which the Government of Russia or Belarus has any direct equity share; (2) having any business operations commencing after the effective date of this act that involve contracts with or the provision of goods or services to the Government of Russia or Belarus; (3) being headquartered in Russia or having its principal place of business in Russia or Belarus, or (4) supporting, assisting, or facilitating the Government of Russia or Belarus in their campaigns to invade the sovereign country of Ukraine, either through in-kind support or for profit.

# **ACKNOWLEDGMENT OF RECEIPT OF ADDENDA**

The undersigned Bidder hereby acknowledges receipt of the following Addenda:

ADDENDI NUMBE		ACKNOWLEDGE RECEIPT (Initial)
No Add	enda were received:	
Acknowl	edged for:(Name of Bidder)	
Ву:	(Signature of Authorized Represent	tative)
Name:	(Print or Type)	
Title:		
Date:		

# EXHIBIT A

# EVALUATION SHEET

BOROUGH OF MIDDLESEX

	Write NA if
EVALUATORS NAME	 category
COMPANY NAME	does not apply.

	Understanding the Requeste 10 Points	d Work		
<u>Category</u>	<u> 0 Points</u>	<u>1 - 2 Points</u>	3 - 4 Points	Points Given
Demonstrates clear understanding	Does not demonstrate clear understanding	Proposal points are adequately defined	Proposal is clear, readable and precise	
	0 Points	<u>1 - 2 Points</u>	3 Points	
Completeness and responsiveness to RFP	Does not address major requirements	Proposal absent some non- critical points	Proposal complete and responsive	
Compliance with instructions and requests	Does not comply	Complies substantially	Complies with all instructions and requests	

Knowledge and Professional Compliance 25 Points				
Category	<u>0 - 2 Points</u>	<u>3 - 4 Points</u>	<u>5 - 6 Points</u>	Points Given
Education and training of	Minimal training, no formal	Some prior experience, some	High level of education and	
employees, suitability to	education, new performer	training and documented	training, well proven	
perform the required tasks	0 D. 1 . 4	performance	performance	
D 1 (1 d	<u>0 Points</u>	1 - 2 Points	3 - 7 Points	
Does respondent have the	Not adequately documented	Proposal uses some current	Well documented use of the	
character, integrity,		technology	latest technologies	
reputation, judgment,				
experience & efficiency				
required by the Professional	0 D. 1.4.	1 20 1	2 4D:14	
0.1.000	<u>0 Points</u>	1 - 2 Points	3 - 4 Points	
QA/QC Process	Not adequately documented	QA/QC documented, but	QA/QC documented with	
		with little oversight	significant oversight	
	<u>0 Points</u>	<u>1 - 3 Points</u>	<u>4 - 8 Points</u>	
Primary Professional vs.	More than one Sub-	Only one Sub-Professional	Primary Professional will do	
subcontracted resources	Professional	providing 50% of resources	entire project	
depending on nature of sub		to be used		
and percentage of project				
	Ability to Perform Services i	n a Timely Manner		
	15 Points			
Category	<u>0 Points</u>	<u>1 - 2 Points</u>	<u>3 - 4 Points</u>	Points Given
Scheduling Timeline	Cannot meet schedule	Meets most of schedule	Meets entire schedule	
	<u>0 - 1 Points</u>	<u>2 - 3 Points</u>	<u>4 - 6 Points</u>	
Personnel & Resources	May not be sufficient	Sufficient for project	Dedicated resources	
	<u>0 - 2 Points</u>	<u>3 - 4 Points</u>	5 Points	
Primary Professional	Primary Professional has not	Primary Professional has	No Sub-Professional or a	
relationship Sub-	worked with Sub-	limited experience with Sub-	proven record with Sub-	
Professionals	Professional	Professional	Professional	

Management, Experience and Personnel Qualifications 25 Points				
Category	<u> 0 Points</u>	<u>1 - 2 Points</u>	3 Points	Points Given
Project Management Plan	Not demonstrated as sound	Plan is average	Plan is sound and detailed	
Project Management Team	Does not meet qualifications	Qualified but little experience working together	Well qualified and has collaborated on similar projects	
	<u>0 Points</u>	<u>1 -2 Points</u>	<u>3 - 5 Points</u>	
Record of reliability and quality of service	Not documented	Some documentation	Track record of high quality	
	<u>0 - 1 Points</u>	2 - 4 Points	<u> 5 - 7 Points</u>	
Scope of Work Experience Experience in performing similar work by employees	Few related projects Limited experience	Some similar projects Good experience	Numerous similar projects Exceptional experience	

Management, Experience and Personnel Qualifications 25 Points				
Category	<u>0 Points</u>	2 Points	3 Points	Points Given
Explanation of costs	Costs not explained	Some correlation provided	Well documented	
	0 - 4 Points	5 - 10 Points	11 - 15 Points	
Cost comparison	Highest third in salary dollars	Middle third in salary dollars	Lowest third in salary dollars	
	<u>0 - 1 Points</u>	2 - 3 Points	4 - 6 Points	
Other costs, copies, travel, etc	Travel and copy cost in excess of OPRA	Copy cost equal to OPRA costs	None	

	<u> 0 Points</u>	<u>1 - 2 Points</u>	3 Points	
Additional Services	No needed additional	Possible additional services	Needed additional services	
	services identified	identified, costs not included	identified and included	
			TOTAL POINTS AWARDED	